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**AGENCY RECEIPT****Notice of Proposed Rulemaking**

Apr 10 8:58 AM '99

1. **Agency name:** Arizona Corporation Commission, Securities Division SECURITIES DIVISION
2. **Title, Chapter, Subchapters (if applicable), the Articles, the Parts (if applicable), and the Sections involved in the rulemaking:**

**Title and its Heading:** Title 14. Public Service Corporations; Corporations and Associations; Securities Regulation

**Chapter and its Heading:** Chapter 6. Corporation Commission--Investment Management

**Article and its Heading:** Article 1. General Provisions Relating to the Arizona Investment Management Act  
 Article 2. Duties of Investment Advisers and Investment Adviser Representatives

Arizona Corporation Commission

**DOCKETED**

<u>Subchapters, Articles, Parts, and Sections</u>	<u>Action</u>
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Article 1.	10/10/1999
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A.A.C. R14-6-101	Repeal
A.A.C. R14-6-102	Repeal
A.A.C. R14-6-103	Repeal
A.A.C. R14-6-104	Repeal
A.A.C. R14-6-101	New section
A.A.C. R14-6-102	New section
A.A.C. R14-6-103	New section
A.A.C. R14-6-104	New section
A.A.C. R14-6-106	New section

DOCKETED BY	<i>[Signature]</i>
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## Article 2.

A.A.C. R14-6-201	Repeal
A.A.C. R14-6-202	Repeal
A.A.C. R14-6-203	Repeal
A.A.C. R14-6-204	Repeal
A.A.C. R14-6-205	Repeal
A.A.C. R14-6-206	Repeal
A.A.C. R14-6-207	Repeal
A.A.C. R14-6-208	Repeal

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A.A.C. R14-6-209	Repeal
A.A.C. R14-6-201	New section
A.A.C. R14-6-202	New section
A.A.C. R14-6-203	New section
A.A.C. R14-6-204	New section
A.A.C. R14-6-205	New section
A.A.C. R14-6-206	New section
A.A.C. R14-6-207	New section
A.A.C. R14-6-208	New section
A.A.C. R14-6-209	New section
A.A.C. R14-6-210	New section
A.A.C. R14-6-211	New section
A.A.C. R14-6-212	New section